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NYISO Consumer Interest Liaison Weekly Summary

March 30 – April 3, 2015

Notices:

- The **Draft Analysis Group's NYISO Capacity Market Report** is now posted under the February 25, 2015 ICAP Working Group meeting materials at the link below:
http://www.nyiso.com/public/committees/documents.jsp?com=bic_icapwg&directory=2015-02-25
The comment period for the Report has been extended to Monday, April 20. The final report will be posted on Monday, May 4.
- The NYISO has posted **MMU comments on the Draft Analysis Group Report on the NYISO Capacity Market**. To see the MMU comments please go to:
http://www.nyiso.com/public/webdocs/markets_operations/committees/bic_icapwg/meeting_materials/2015-02-25/MMU%20Comments%20on%20AG%20Report_3172015.pdf
- A BPWG teleconference meeting has been scheduled for April 16, 2015 from 2:00 – 3:00. Additional details will follow.
- As a reminder, the **End Use Consumer Sector meeting** is scheduled for Monday, April 13 at the Hilton Garden Inn, Troy. To ensure adequate space, if you haven't already done so, please [RSVP](#) as soon as possible.
- The following organization has submitted an application for Party status in the NYISO Governance as a Non-Voting Entity: **NuEnergen** <http://www.nuenergen.com/>
- The next **MC Board Liaison meeting** will be held on April 21, 2015 at Hunton and Williams, 200 Park Ave, NYC NY. Please note, the meeting with the Board of Directors will begin at 12:00 pm and the Stakeholder pre-meeting will begin promptly at 11:30 am. Conference call Information will be circulated with the agenda.

Meeting Summaries:

Monday, March 30, 2015

Joint Market Issues/Price Responsive Load/Installed Capacity Working Group

Revisions to JESS Upload/Download Templates -- April 15 Customer Focus Group

Chris Brown of the NYISO informed stakeholders of an upcoming 4/15 Customer Focus Group meeting where changes to the upload/download templates used to manage external energy transaction bids in the Joint Energy Scheduling System (JESS) will be reviewed. The revised



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template specification and an accompanying presentation for discussion at the Customer Focus Group are posted with the 3/30 MIWG meeting materials. Sandbox testing and MP training sessions for the updated bidding platform are planned for July, August, and September 2015. Deployment of the revised templates is targeted for October 2015 in conjunction with changes to support CTS with ISO-NE implementation. To see Mr. Brown's presentation and the revised template specifications, please go to:

http://www.nyiso.com/public/committees/documents.jsp?com=bic_miwg&directory=2015-03-30

BRM Metrics

David Edelson of the NYISO presented metrics for the Broader Regional Markets initiative for the month of February 2015. To see Mr. Edelson's presentation, please go to:

http://www.nyiso.com/public/webdocs/markets_operations/committees/bic_miwg/meeting_materials/2015-03-30/Monthly%20Report%20for%20MIWG%20-Feb%202015.pdf

Comprehensive Scarcity Pricing

Ethan Avallone of the NYISO presented the background and market overview for Comprehensive Scarcity Pricing. In October 2013 the NYISO filed the Enhanced Scarcity Pricing Informational Report with FERC. In June 2014 stakeholders recommended that the NYISO continue to improve scarcity pricing and shortage pricing. With shortage pricing due for implementation in the fall of 2015 the NYISO is proceeding to refine scarcity pricing.

Comprehensive Scarcity Pricing will allow for the following:

- *Create a reserve area for the zones where SCR/EDRPs are activated*
- *Depending on the reliability need, the reserve requirement will be either 10-Minute Total or 30-Minute Total*
- *The reserve requirement will be included in RTC and RTD for the duration of the event*

Mr. Avallone explained that this is the first of what will be several presentations to the MIWG in the development of Comprehensive Scarcity Pricing and stakeholder input is welcome throughout the process. To see the presentation in its entirety, go to:

http://www.nyiso.com/public/webdocs/markets_operations/committees/bic_miwg/meeting_materials/2015-03-30/Comprehensive%20Scarcity%20Pricing%20Mar%20MIWG.pdf

Demand Response Backstop Design

Donna Pratt presented NYISO's proposal for changes needed in the Demand Response (DR) market in the event that the DC circuit Court of Appeals decision in EPSA v FERC is upheld. The NYISO recognizes the need to be prepared in the event that its demand response programs become non-FERC jurisdictional in order to minimize the market and reliability impacts. The concept for a backstop design is intended to:

- *Reflect the value of demand side participation from both a reliability and market perspective*
- *Remove the ability for Demand Side Resources to be compensated by the wholesale market for capacity or energy*
- *Minimize impacts to NYISO's Capacity market*



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- *Implement any required changes quickly*
 - *Minimize disruption to the current processes*
 - *Minimize software changes for quick implementation*
- *Develop new terminology to distinguish the SCR program rules from the DR Backstop rules*

New terminology has been proposed to avoid confusion with established terms and more accurately relate to the roles and functions of the proposed design. The Special Case Resource (SCR) program would be replaced by the Capacity Requirement Offset Program (CROP). The Responsible Interface Party (RIP) would become the Responsible Enrolling Party (REP) and function as an interface between the LSE and the DR resource while performing all administrative requirements to participate in the CROP. This representation will be formalized with an authorization agreement with each LSE receiving the Capacity Requiring Offset. The REP will no longer be a direct NYISO market participant; however, with proper authorization, it could act as a representative of the resource in the governance structure. Some stakeholders offered input suggesting that the NYISO should consider changing the sector definitions so that REPs could continue to be part of the governance process. The NYISO will consider the input and present a final recommendation at a future working group meeting. Ms. Pratt detailed the changes that will be required for the registration process prior to providing an overview of the implementation design. The registration process for enrolling resources was explained and an example was provided for clarification. Ms. Pratt detailed each step of the process while providing a comparison to the existing steps and the impacts to the process, software and transition aspects of the design including:

1. Aggregation ID Request
2. Enrollment Import
3. LSE Enrollment Review Process (New process)
4. Duplicates and Monitored Fields
5. ACL Data Requests
6. Offer Floor Information Reporting
7. Aggregation Management
8. Offer Floor Determination
9. Finalize ACL Data Request Enrollments
10. Strike Price Management (Process will be eliminated with proposed design)
11. Update Contacts

Ms. Pratt detailed the implications of the proposed design on the Capacity Auction process. Minor process changes were proposed to the Event and Test processes were introduced including the effects of the proposed design on notification, estimate response, activation, scarcity pricing, data reporting and payment processing. Ms. Pratt concluded the proposed process change introductions with the revisions to the Capability Close-out Processes by displaying the current processes versus the proposed design. The next step to this process will be to identify tariff changes associated with the proposed DR Backstop design while providing multiple working group presentations to ensure a comprehensive review of all changes. To see the complete presentation please go to:



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http://www.nyiso.com/public/webdocs/markets_operations/committees/bic_miwg/meeting_materials/2015-03-30/DR%20Backstop%20Design%20033015.pdf

FERC Order on SCR Mitigation

Julia Popova of the NYISO presented an update on the March 19, 2015 FERC Order on Clarification, Rehearing, and Compliance Filing regarding New York City Installed Capacity Market Mitigation Measures (March 2015 Order). Ms. Popova explained that FERC reversed its prior ruling to exclude from the BSM determination for new SCRs in New York City the “value of other benefits provided under programs administered or approved by New York State or a government instrumentality of New York State regarding state programs.” The NYISO is required to make a compliance filing within 30 days. Pursuant to the Order, effective March 19, 2015, for any BSM exemption and Offer Floor determinations made for a new Special Case Resource:

- *New York City (Load Zone J): the NYISO will include all rebates and other benefits from New York State programs when making an exemption or Offer Floor determination.*
- *Load Zones G, H, and I: at the present time, the NYISO will continue to exclude rebates and other benefits received by an SCR from the New York State programs posted on its web site, when making an exemption or Offer Floor determination.*
- *The list of programs included and excluded in the Load Zones within the Mitigated Capacity Zones has been updated and is available at:*
http://www.nyiso.com/public/webdocs/markets_operations/market_data/icap/Manuals_and_Forms/Forms/SCR_Offer_Floor_Programs.pdf
and
http://www.nyiso.com/public/webdocs/markets_operations/market_data/icap/Manuals_and_Forms/Forms/SCR_Offer_Floor_Programs.pdf

The NYISO informed stakeholders that it will be filing an expedited petition for clarification on the Order. FERC’s March 19, 2015 Order directed the NYISO to file 30 days from the date of the order, to revise its Services Tariff to address the outcome of a request for exemption of rebates and other benefits from state programs that may be filed by New York State with FERC. There are no changes in the NYISO’s administration or operations processes or procedures based on this order or Market Participant’s obligations. The form, deadlines, and procedures for the submission of information on new Special Case Resources remain unchanged.

To see the complete update and associated forms as presented by Ms. Popova, please go to:

http://www.nyiso.com/public/webdocs/markets_operations/committees/bic_miwg/meeting_materials/2015-03-30/SCR%20Update%203-30-2015-c.pdf

Note: The NYISO made the expedited petition for clarification on the Order on March 30, 2015. That filing is available at

http://www.nyiso.com/ViewerDocuments//Filing/Filing996/Attachments/20150330_NYISO_Mtn_Cl_rfctn_SCR.pdf

Tuesday, March 31, 2015

Management Committee

Motion #1:



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The Management Committee (MC) approves the January 2015 meeting minutes.

The motion passed unanimously by show of hands

Motion #2:

The Management Committee (MC) hereby approves, and recommends to the NYISO Board for filing under Section 205 of the Federal Power Act, revisions to Attachment K of the Market Services and Control Area Tariff as described in the presentation entitled “Market Participant Reporting Requirements,” made at the March 31, 2015 MC meeting.

The motion passed unanimously by show of hands with abstentions.

Motion #3:

The Management Committee (MC) hereby approves, and recommends to the NYISO Board for filing under Section 205 of the Federal Power Act, revisions to Attachment K of the Market Services and Control Area Tariff as described in the presentation entitled “Proposed Enhancements to ICAP Spot Market Auction Credit Requirements,” made at the March 31, 2015 MC meeting.

The motion passed unanimously by show of hands.

Motion #4:

The Management Committee (MC) hereby approves, and recommends to the NYISO Board for filing under Section 205 of the Federal Power Act, revisions to Attachment K of the Market Services and Control Area Tariff as described in the presentation entitled “Proposed Changes to External Transactions Credit Requirements,” made at the March 31, 2015 MC meeting.

The motion passed unanimously by show of hands with an abstention.

Tuesday, March 31, 2015

Budget Priorities Working Group

2015 Budget vs Actual Status

Cheryl Hussey of the NYISO presented the comparison of the 2015 NYISO budget to actual status. Through February, the NYISO is trending ahead of budgeted MWh as was the case in 2014 due to the extreme cold weather. Ms. Hussey explained that a combination of Rate Schedule 1 over-collection and a spending under-run last year combined to create \$5.2M in funds remaining from 2014. The NYISO staff recommends using the remaining 2014 funds to pay down \$4.2M of principal on outstanding debt and using \$1.0M to fund the cost of unbudgeted planning studies requested by the PSC (pending NYISO Board acceptance of the 2014 financial statements in April). Stakeholders offered suggestions for the disposition of the remaining 2014 funds which the NYISO took into consideration. To see Ms Hussey’s presentation, please go to:

http://www.nyiso.com/public/webdocs/markets_operations/committees/mc_bpwg/meeting_materials/2015-03-31/Agenda%20-%20-%20FEB%202015%20Budget_vs_Actual.pdf

Proposal for FERC Fee Recovery

Cheryl Hussey of the NYISO presented a proposal for a revision to the method by which FERC Fees are recovered via Rate Schedule 1. This proposal was first presented at the February 25th



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BPWG meeting, at which time the NYISO had proposed three potential alternatives to market participants in a previous BPWG and had requested stakeholder input on the alternative methods of fee recovery. Stakeholder comments reflected a preference for the alternative option that would invoice market participants on a monthly basis based upon:

- *Estimated values until Invoice is received*
- *Recovery allocation based on monthly load ratio share*
- *True-ups required July – September for over/under collection amounts*
- *Apply using same allocation percentages as Rate Schedule 1 Budget recovery*
- *Non-Physicals will also use a pro-rata allocation percentage*
- *FERC Fee recoveries will be held in interest bearing escrow account until invoice is due*

Ms. Hussey displayed a timeline illustrating the steps of the proposed fee recovery process for the first and subsequent years. Stakeholders suggested that the true-up period of July through September should be lengthened through the end of the calendar year to lower the impact to market participants during the peak summer months. A BPWG meeting was scheduled for April 16, 2015 to allow for further discussion and to present tariff language prior to a Management Committee vote in April. To see the complete presentation, please go to:

http://www.nyiso.com/public/webdocs/markets_operations/committees/mc_bpwg/meeting_materials/2015-03-31/Agenda%20%20-%20Proposal%20for%20FERC%20Fee%20Recovery.pdf

Enterprise Cost Management (ECM)

Cheryl Hussey of the NYISO presented on the Enterprise Cost Management (ECM) program that the NYISO recently began employing. ECM provides new business processes and tools that enable the NYISO:

- To enhance its decision making capability
- To identify cost management opportunities and implement additional cost management and cost reduction strategies

Ms. Hussey described the processes and tools utilized in the program and how they are providing the NYISO data and procedures to more effectively manage resources. An example of the effect of ECM on procurement activities was detailed resulting in a 2014 savings to the NYISO of \$2.7M. To see Ms. Hussey's complete presentation, please go to:

http://www.nyiso.com/public/webdocs/markets_operations/committees/mc_bpwg/meeting_materials/2015-03-31/Agenda%204%20-%20ECM.pdf

2015 Project Milestone Update

Ryan Smith of the NYISO presented an update on the status of NYISO projects for 2015. Due to emerging business needs the NYISO has re-prioritized the project schedule for 2015. Mr. Smith explained that the NYISO has cancelled five projects, initiated three new projects and reprioritized the delivery timeline for one project:

New projects were defined as:

1. NAESB Public Key Infrastructure
2. MetrixLDR – Load Forecaster Upgrade
3. Smart Grid Portal



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Cancelled projects were listed as:

1. Integration Platform Availability Improvements
2. Stakeholder Services Suite Phase II
3. RFP Evaluation Tool
4. BES: Interconnection Reliability Operating Limits (IROL) Visualization
5. Economic Planning Model Management

Reprioritized delivery timeline was listed as:

1. ICAP AMS Enhancements Phase 3

Mr. Smith then led a review of the status of the remaining 2015 projects. It was noted that in response to stakeholder requests the NYISO has added footnotes to specific projects to provide visibility as to when stakeholder discussions of market design concepts are expected to begin. At this time, the remaining 2015 projects have either not started, are on schedule or have been completed. Additionally, the following three projects are at risk of delay:

- Windows Server Upgrades
- NERC CIP v5 Planning and Conversion
- BES: Contingency Analysis Updates

To see the complete presentation, please go to:

http://www.nyiso.com/public/webdocs/markets_operations/committees/mc_bpwg/meeting_materials/2015-03-31/Agenda%20-%202015%20Project%20Milestone%20Update.pdf

2016 Project Prioritization Process & Timeline

Ryan Smith of the NYISO presented the proposal to make process changes to the Project Prioritization criteria. In response to a stakeholder request, the NYISO developed a proposal to revise the Project Prioritization Process to increase transparency and provide stakeholders an opportunity to weigh in on specific potential projects. Stakeholders would be allotted 100 points to assign to projects based on their individual priorities. As in the current process, written comments would also be solicited to provide additional input to the selection process. The NYISO will continue to score projects on objective criteria as it has done in previous years. Details of stakeholder scoring, as well as NYISO scoring, will be shared with market participants. Mr. Smith introduced a timeline indicating how the process would function with the stakeholder scoring proposal as part of the process beginning in May and culminating with the Board of Directors approval of the NYISO budget in November. To see Mr. Smith's complete proposal, please go to:

http://www.nyiso.com/public/webdocs/markets_operations/committees/mc_bpwg/meeting_materials/2015-03-31/Agenda%20-%202016%20Project%20Prioritization%20Process.pdf

Thursday, April 2, 2015

Transmission Planning Advisory Subcommittee

Queue #405 – Oakdale-Fraser 345 Project SRIS

Oakdale-Fraser 345kV Project is a 57 mile transmission line connecting Oakdale 345 kV and Fraser 345 kV Substations

Recommended for OC Approval



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Queue #414 – New Scotland-Leeds-Pleasant Valley 345 Project SRIS

New Scotland-Leeds-Pleasant Valley 345kV Project is a 65 mile transmission line connecting New Scotland 345kV through Leeds 345kV and Pleasant Valley 345kV Substations
Recommended for OC Approval

Queue #442 – Riverhead Solar Farm Project SRIS

Riverhead Solar Farm is a proposed solar project in Calverton, New York.
Expected Summer and Winter Capacity of 24MW
Recommended for OC Approval

Queue #461/462 – East River 1 Uprate and East River 2 Project SRIS

East River 1 Uprate and East River 2 Project is a plant uprate in Manhattan, New York
Expected Summer Maximum Capacity of 160.5MW and 162.4MW respectively and Winter Maximum Capacity of 194.3MW and 195.3MW respectively
Recommended for OC Approval

Queue #465 – Hudson Transmission NY to PJM Project SRIS

Hudson Transmission NY to PJM Project is a proposed transmission line connecting Con Ed W49th 345kV station to PJM Bergen 230kV
Expected Summer and Winter Capacity of 675MW
Recommended for OC Approval

Queue #467 – Tallgrass Solar Project SRIS

Riverhead Solar Farm is a proposed solar project in Suffolk County, New York.
Expected Summer and Winter Capacity of 24.99MW
Recommended for OC Approval

High Level Class Year 2015 Schedule – Draft

Steve Corey of the NYISO presented a high level draft schedule for the 2015 Class Year (CY2015) based on the schedule outlined in the NYISO OATT, Section 25.5.9. To see the draft schedule, please go to:

https://www.nyiso.com/secure/webdocs/markets_operations/committees/oc_tpas/meeting_materials/2015-04-02/Agenda07_High%20Level%20CY15%20Schedule_draft%20for%20information_TPAS%20%202%202015.pdf

Thursday, April 2, 2015

Market Issues Working Group

Update on Market Caps

Mike DeSocio of the NYISO presented an update on the NYISO position on Supplier Offer Caps. The NYISO has held discussions with ISO/RTO neighbors as well as received several stakeholder comments concerning the level of offer caps. The NYISO filed comments with FERC on March 6, 2015 in response to the FERC price formation technical conference. While



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discussing Supplier Offer Caps with market participants an issue was identified with the NYISO bid screens for the Minimum Generation Cost bidding. This issue could affect generators with very large minimum generation MWs. The NYISO is in the process of evaluating the issue. The current limit on supply offers is set at \$1000/MWh.

Fuel Assurance Initiative: Energy Market Design Concepts

Cristy Sanada of the NYISO presented Energy Market design concepts in coordination with the NYISO Fuel Assurance Initiative. The NYISO is looking to work with stakeholders to develop bidding mechanisms that better reflect fuel or production limitations in the Day-Ahead Market (DAM). Ms. Sanada explained that the NYISO is proposing a fuel constrained bidding concept, which would allow generators to submit offers that more accurately reflect fuel supply or production constraints in the DAM. In addition to submitting hourly DAM bids, this proposal would allow generators to:

- Offer a daily total MWh capability which will be optimized over 24 hours
- Submit total capability as a daily energy demand curve reflecting the incremental costs it may incur to consume various volumes of fuel or produce at various levels of output

Utilizing this proposed functionality, the NYISO is considering methods that could assist in bidding when anticipating gas supply Operational Flow Orders (OFOs). Stakeholder comments and questions were noted and stakeholders were encouraged to assist the NYISO in the development of this initiative. The NYISO will continue to advance this concept at the May MIWG. To see Ms. Sanada's presentation, please go to:

http://www.nyiso.com/public/webdocs/markets_operations/committees/bic_miwg/meeting_materials/2015-04-02/Market%20Concepts%20for%20Energy%20Market%20Fuel%20Assuance.pdf

CTS with ISO-NE – Response to Questions

James Pigeon of the NYISO presented a response to questions and comments received from stakeholders during and after the March 10, 2015 MIWG presentation. Mr. Pigeon led a brief review of the CTS with ISO-NE process and benefits and compared the current interchange scheduling process with the Post CTS with ISO-NE activation process. Stakeholder questions from the prior MIWG were restated and followed by the NYISO responses. Mr. Pigeon led a discussion on the methodology that would be used to calculate the ISO-NE Reliability Limits and how it would affect the net interchange on flows from ISO-NE to NYISO. Detailed examples of the economic evaluation were provided and discussed with stakeholders along with the congestion allocation impacts. The NYISO will continue to solicit stakeholder impact as the proposal progresses to the BIC and MC for governance approval prior to an anticipated August 2015 filing with FERC. To see Mr. Pigeon's presentation, please go to:

http://www.nyiso.com/public/webdocs/markets_operations/committees/bic_miwg/meeting_materials/2015-04-02/CTS%20with%20ISO-NE%20How%20it%20will%20Work%205.pdf

Behind the Meter Net Generation Initiative: Energy Market Design Concepts

Pradip Ganesan of the NYISO presented the Energy Market design concepts for the Behind the Meter: Net Generation (BTM:NG) proposal. This proposal would allow generators that serve a



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specific retail load and have excess generation to participate in the NYISO wholesale markets with the net generation. Design concept considerations for the proposal include:

- Registration
- Bidding
- Scheduling
- Metering
- Settlements

The generator would have the same bidding options as a conventional generator and would register the generator bid parameters (UOL, Min Run Time, Start Up Cost, etc.). Mr. Ganesan explained that the NYISO proposal is to model the BTM:NG generation and the load as two separate components. The BTM:NG would be required to submit a forecasted BTM load in MWs for each hour. The forecast load bid and generator offer would be evaluated by the NYISO to reflect the excess generation available to schedule in the day-ahead and real-time energy and ancillary service markets, within the limit of the facilities' interconnection capability. Start Up cost and the generator minimum generation cost concepts were discussed with the NYISO receiving stakeholder feedback for further program refinements. Graphical examples were provided by the NYISO to illustrate the proposed bidding and scheduling of the BTM:NG system. Mr. Ganesan detailed the metering requirements for the prospective facilities and provided an example of the proposed settlement process. The final segment of Mr. Ganesan's presentation included a clarification of the NYISO tariff in reference to non-FERC jurisdictional interconnection procedures and Energy Resource Interconnection Service (ERIS). To see Mr. Ganesan's presentation, please go to:

http://www.nyiso.com/public/webdocs/markets_operations/committees/bic_miwg/meeting_materials/2015-04-02/BTMNG_MIWG_040215.pdf

Friday, April 2, 2015

Electric System Planning Working Group

Cleanup of Attachment Y

Carl Patka of the NYISO and Michael Messonnier of Hunton and Williams continued the collaborative process of finalizing updates to Attachment Y of the NYISO OATT through discussion with stakeholders. The NYISO has conducted several ESPWG meetings devoted to developing a process to update the Public Policy Transmission Planning Process (PPTPP). The "clean-up" process will continue at the April 7, 2015 ESPWG meeting. To see the with redline tariff sections please go to:

http://www.nyiso.com/public/committees/documents.jsp?com=bic_espwg&directory=2015-04-03

FERC Filings

March 31, 2015

NYISO annual filing of a compliance report regarding unreserved use and late study penalties

March 30, 2015



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NYISO filing of a request for clarification of an aspect of FERC's 3/19/15 order, regarding SCRs in Mitigated Capacity Zones

March 27, 2015

NYISO filing to include in Table 1A revisions to certain existing transmission agreements and to amend the periodicity for filings to incorporate accepted revisions into Table 1A from a biannual to an annual process

March 26, 2015

NYISO filing of a motion for extension of time to file compliance tariff revisions to add a competitive entry exemption to the BSM Rules

March 24, 2015

NYISO filing of an answer to limited protests of its February 18, 2015 tariff filing proposing revisions to Operating Reserve Demand Curves, Regulation Service Demand Curve and Transmission Shortage Cost

March 24, 2015

NYISO filing of a motion requesting the termination of the current semiannual filing obligation in Docket No. ER10-2220 regarding the development of rules addressing compensation to generators that are determined to be needed for reliability

March 23, 2015

NYISO filing of its ninth informational report on efforts to develop rules addressing compensation to generators that are determined to be needed for reliability

FERC Orders

April 1, 2015

FERC letter order accepting the amended and restated interconnection agreement between Niagara Mohawk Power Corporation and Covanta Niagara, L.P. effective December 30, 2014, as requested

March 31, 2015

FERC notice granting NYISO a two week extension until April 13, 2015, to submit its compliance filing regarding a competitive entry exemption

Link to FERC Filings and Orders:

http://www.nyiso.com/public/markets_operations/documents/tariffviewer/index.jsp